

## UNITED STATES PATENT AND TRADEMARK OFFICE

2

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO. FILING DATE		G DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/735,149	10/735,149 12/12/2003		Chung Chan	02-12	2580
10117	7590	05/16/2006	EXAMINER		
	NETICS, IN		JIANG, DONG		
	LAKE AVEN	ERTY DEPARTM IUE EAST	ART UNIT	PAPER NUMBER	
SEATTLE,	WA 98102-	3702	1646		
				DATE MAILED: 05/16/200	6

Please find below and/or attached an Office communication concerning this application or proceeding.

		Application No.	Applicant(s)					
		10/735,149	CHAN ET AL.					
	Office Action Summary	Examiner	Art Unit					
		Dong Jiang	1646					
Period fo	The MAILING DATE of this communication a or Reply	appears on the cover sheet w	with the correspondence ad	dress				
WHI( - Exte after - If NC - Failt Any	ORTENED STATUTORY PERIOD FOR REIDEVER IS LONGER, FROM THE MAILING asions of time may be available under the provisions of 37 CFR SIX (6) MONTHS from the mailing date of this communication. In period for reply is specified above, the maximum statutory period for reply within the set or extended period for reply will, by state to reply within the set or extended period for reply will, by state to reply within the set or extended period for reply will, by state to reply within the set or extended period for reply will, by state to provide the major that the major term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUN 1.136(a). In no event, however, may a od will apply and will expire SIX (6) MC tute, cause the application to become	IICATION.  a reply be timely filed  DNTHS from the mailing date of this co  ABANDONED (35 U.S.C. § 133).	,				
Status								
1)	Responsive to communication(s) filed on							
2a)□		his action is non-final.						
3)[	Since this application is in condition for allow	wance except for formal ma	itters, prosecution as to the	merits is				
	closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.							
Disposit	ion of Claims							
4)⊠	Claim(s) 1-22 is/are pending in the applicati	on.						
	4a) Of the above claim(s) is/are withdrawn from consideration.							
5)□	Claim(s) is/are allowed.							
	Claim(s) is/are rejected.							
·	Claim(s) is/are objected to.							
8)⊠	Claim(s) <u>1-22</u> are subject to restriction and/o	or election requirement.						
Applicat	ion Papers							
9)[	The specification is objected to by the Exam	iner.						
10)	10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.							
	Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).							
	Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).							
11)	The oath or declaration is objected to by the	Examiner. Note the attache	ed Office Action or form PT	O-152.				
Priority (	under 35 U.S.C. § 119							
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>								
Attachmen		_	,					
1)  Notic	e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948)		Summary (PTO-413) o(s)/Mail Date					
3) 🔲 Infori	nation Disclosure Statement(s) (PTO-1449 or PTO/SB/0 r No(s)/Mail Date		Informal Patent Application (PTO	)-152)				

## **DETAILED ACTION**

Currently, claims 1-22 are pending.

## Election/Restrictions

Restriction to one of the following inventions is required under 35 U.S.C. 121:

- I. Claims 1-6 and 22, drawn to an expression vector for producing IL-21 protein, a host cell containing the vector, and a method for producing IL-21 protein, classified in class 435, subclass 69.52.
- II. Claims 7-13 and 20, drawn to a method for producing IL-21 protein by fed batch fermentation, classified in class 435, subclass 71.2.
- III. Claims 14-20, drawn to a method for isolating IL-21 protein, classified in class 530, subclass 412.
- IV. Claim 21, drawn to a composition comprising the IL-21 protein of SEQ ID NO:28, classified in class 424, subclass 85.2.

The inventions are distinct, each from the other because:

Although one of the products of Invention I is related to Inventions II and III as product and process of use. The inventions can be shown to be distinct if either or both of the following can be shown: (1) the process for using the product as claimed can be practiced with another materially different product or (2) the product as claimed can be used in a materially different process of using that product (MPEP § 806.05(h)). In the instant case, the host cell as claimed may be used for the production or isolation of the protein in a materially different process.

The expression vector of Invention I comprises the nucleic acid encoding the IL-21 protein of the composition of Invention IV, and thus is related to the composition of Invention IV by virtue of encoding the protein. The nucleic acid molecule has utility for the recombinant production of the protein in a host cell. Although the nucleic acid of the vector and protein are related since the nucleic acid encodes the specifically claimed protein, they are distinct inventions because they are physically and functionally distinct chemical entities, and the protein product can be made by another and materially different process, such as by synthetic peptide

Art Unit: 1646

synthesis or purification from the natural source. Further, the nucleic acid may be used for processes other than the production of the protein, such as nucleic acid hybridization assay.

Page 3

Inventions II and III are distinct and unrelated, wherein the method of Invention II is for producing IL-21 protein, whereas the method of Invention III is for isolating the protein, and they require different reagents/active ingredients and method steps, and are for different purposes, such that requiring separate searches.

Although the methods of Inventions II and III are related to the protein of the composition of Invention IV as process of making and product made, the Inventions are distinct if either or both of the following can be shown: (1) that the process as claimed can be used to make other and materially different product or (2) that the product as claimed can be made by another and materially different process (MPEP 806.05(f)). In the instant case the protein of the composition as claimed may be isolated from their natural source or made by chemical peptide synthesis.

Because these inventions are distinct for the reasons given above and have acquired a separate status in the art as shown by their different classification and/or recognized divergent subject matters, restriction for examination purposes as indicated is proper.

Applicant is advised that the reply to this requirement to be complete must include (i) an election of a species or invention to be examined even though the requirement be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected invention.

The election of an invention or species may be made with or without traverse. To reserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the restriction requirement, the election shall be treated as an election without traverse.

Should applicant traverse on the ground that the inventions or species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the inventions or species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C.103(a) of the other invention.

Art Unit: 1646

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

The examiner has required restriction between product and process claims. Where applicant elects claims directed to the product, and the product claims are subsequently found allowable, withdrawn process claims that depend from or otherwise require all the limitations of the allowable product claim will be considered for rejoinder. All claims directed a nonelected process invention must require all the limitations of an allowable product claim for that process invention to be rejoined.

In the event of rejoinder, the requirement for restriction between the product claims and the rejoined process claims will be withdrawn, and the rejoined process claims will be fully examined for patentability in accordance with 37 CFR 1.104. Thus, to be allowable, the rejoined claims must meet all criteria for patentability including the requirements of 35 U.S.C. 101, 102, 103 and 112. Until all claims to the elected product are found allowable, an otherwise proper restriction requirement between product claims and process claims may be maintained. Withdrawn process claims that are not commensurate in scope with an allowable product claim will not be rejoined. See MPEP § 821.04(b). Additionally, in order to retain the right to rejoinder in accordance with the above policy, applicant is advised that the process claims should be amended during prosecution to require the limitations of the product claims. Failure to do so may result in a loss of the right to rejoinder. Further, note that the prohibition against double patenting rejections of 35 U.S.C. 121 does not apply where the restriction requirement is withdrawn by the examiner before the patent issues. See MPEP § 804.01.

Application/Control Number: 10/735,149

Art Unit: 1646

Advisory Information

Any inquiry concerning this communication should be directed to Dong Jiang whose telephone number is 571-272-0872. The examiner can normally be reached on Monday - Friday

Page 5

from 9:30 AM to 7:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gary Nickol, can be reached on 571-272-0835. The fax phone number for the

organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Dong Jiang, Ph.I.

Patent Examiner

AU1646 5/10/06